

Abdominal obesity and hyperglycemia mask the effect of a common *APOC3* haplotype on the risk of myocardial infarction^{1–3}

Edward A Ruiz-Narváez, Frank M Sacks, and Hannia Campos

ABSTRACT

Background: Plasma apolipoprotein (apo) C-III strongly predicts myocardial infarction (MI) and directly activates atherogenic processes in vascular cells. Genetic variation in the insulin response element of the *APOC3* promoter is associated with an increased risk of MI.

Objective: The objective was to determine whether the *APOC3* promoter variation affects plasma apo C-III concentrations and MI only when insulin sensitivity is normal.

Design: The *APOC3**222 haplotype, defined by the minor alleles of the single nucleotide polymorphisms 3238C→G, –455T→C, and –482C→T, was studied in 1703 matched nonfatal case-control pairs with MI in the Central Valley of Costa Rica. We used fasting hyperglycemia and abdominal obesity as surrogates for insulin sensitivity.

Results: The *APOC3**222 haplotype was associated with higher apo C-III concentrations only in those with the lowest waist circumference or fasting glucose concentration. The association between the *APOC3**222 haplotype and nonfatal MI, previously reported in this population, was strongly influenced by fasting hyperglycemia and abdominal obesity. The odds ratios for MI for the *APOC3**222 haplotype were 1.72 (95% CI: 1.16, 2.54) and 1.84 (1.31, 2.59) in subjects in the lowest quintiles of abdominal obesity and fasting hyperglycemia, respectively, and were 0.75 (0.54, 1.05) and 1.16 (0.85, 1.59) in subjects in the highest quintiles, respectively (*P* for interaction <0.05).

Conclusion: The results support the concept that mutations in the *APOC3* promoter inhibit the down-regulation of *APOC3* expression by insulin. This cardioprotective system becomes dysfunctional in abdominal obesity and hyperglycemia. *Am J Clin Nutr* 2008; 87:000–000.

INTRODUCTION

The plasma concentration of apolipoprotein (apo) C-III is a strong predictor of the risk of coronary heart disease (CHD) (1, 2). Apo C-III impairs the clearance of apo B lipoproteins from plasma, which results in an increase in plasma triacylglycerol concentrations (3–7) and directly activates atherosclerotic and inflammatory pathways in vascular cells (8, 9). Therefore, genetic variation affecting the expression of the *APOC3* gene may alter apo C-III metabolism and influence CHD. Minor alleles of the 3238C→G (*SstI* site), –482C→T, and –455T→C polymorphisms are associated with higher plasma triacylglycerol (10–13) and apo C-III (14) concentrations and with an increased risk of CHD (13, 15–17).

The association between genetic variation in the *APOC3* gene and CHD risk has been linked to the action of insulin. Both the

–482 and –455 sites are located in an insulin response element (IRE), and both minor alleles (–482T and –455C) are associated with higher in vitro transcription of the *APOC3* gene in the presence of insulin in cultured hepatocytes (12). In humans, one small study using liver biopsy samples found that the minor –482T allele, but not the –455C allele was associated with higher *APOC3* mRNA expression (18). Thus, it has been hypothesized that the minor alleles in the –482 and –455 sites cause the loss of insulin down-regulation of *APOC3* expression, an increase in apo C-III synthesis, and an increase in plasma concentrations of apo C-III and atherogenic lipoprotein remnants containing apo C-III (12). We hypothesize that the effect of *APOC3* promoter polymorphisms on CHD is modified by conditions associated with insulin sensitivity, specifically abdominal obesity and fasting hyperglycemia. If insulin were indeed regulating *APOC3* expression through the IRE of the *APOC3* gene, the IRE would be highly responsive to insulin in persons with good insulin sensitivity, such as lean persons. However, in persons with insulin resistance, the IRE of the *APOC3* gene would be unresponsive to insulin action because of suppression of upstream signal transduction pathways that regulate *APOC3* expression (19–21).

We examined whether the common *APOC3**222 haplotype, defined by the minor alleles of the 3238C→G (*SstI* site), –482C→T, and –455T→C polymorphisms and which is associated with an increased risk of nonfatal MI (17), interacts with abdominal obesity and fasting hyperglycemia to affect the risk of nonfatal MI in the Costa Rican population.

SUBJECTS AND METHODS

Study population

The study population was described previously (17). Briefly, the participants were adult patients who were survivors of a first

¹ From the Department of Nutrition (EAR-N, FMS, and HC) and the Department of Epidemiology (EAR-N), Harvard School of Public Health, Boston, MA, and the Centro Centroamericano de Población, Universidad de Costa Rica, San Pedro, Costa Rica (HC).

² Supported by grants HL49086 and HL60692 from the National Institutes of Health. EAR-N was supported by grants T90 DK070078 and R90 DK071507 from the National Institutes of Health as a Roadmap Fellow at the Harvard School of Public Health.

³ Reprints not available. Address correspondence to H Campos, Department of Nutrition, Room 201, Harvard School of Public Health, 665 Huntington Avenue, Boston, MA 02115. E-mail: hcampos@hsph.harvard.edu. Received November 2, 2007.

Accepted for publication January 20, 2008.

acute MI as diagnosed by a cardiologist at any of the recruiting hospitals in the Central Valley of Costa Rica between 1994 and 2004. All cases met the World Health Organization criteria for MI, which requires typical symptoms plus either an elevation in cardiac enzyme concentrations or diagnostic changes in the electrocardiogram. For each case, one population-based control subject, matched for age (± 5 y), sex, and area of residence (county), was recruited. The controls were randomly selected by using data from the National Census and Statistics Bureau of Costa Rica. Participation was 98% for cases and 88% for controls. All subjects gave informed consent on documents approved by the Human Subjects Committee of both the Harvard School of Public Health and the University of Costa Rica.

Trained personnel visited all study participants at their homes to collect data. All measurements were performed in duplicate, and the average was used for analyses. Biological specimens were collected from all subjects in the morning after an overnight fast. Plasma triacylglycerol was assayed by using enzymatic reagents (Boehringer-Mannheim Diagnostics, Indianapolis, IN). Blood glucose was analyzed by using an Accu-Check II Blood Glucose Monitor with Chemstrip bG Test Strips (Boehringer-Mannheim Diagnostics) as previously described (22). Impaired fasting glucose was indicated by a fasting glucose concentration ≥ 110 mg/dL (23). This study includes 1703 case-control pairs with genotype information and complete data on all the descriptive variables and potential confounders. Apo C-III concentrations were measured in a subgroup of 300 controls, with known haplotypic phase, by a sandwich enzyme-linked immunosorbent assay (ELISA) using affinity-purified antibodies (Academy-Biomedical, Houston, TX). The intraassay CV for apo C-III measurements was 5%, and the interassay CV was 8%. Selection of these 300 controls was based on them having a known haplotypic phase for the wild-type *APOC3*111* haplotype and the variant *APOC3*222* haplotype. This group of controls included 131 persons homozygous and 68 persons heterozygous for the *APOC3*111* haplotype and 20 persons homozygous and 81 persons heterozygous for the *APOC3*222* haplotype.

GENETIC POLYMORPHISMS

We selected 3 single nucleotide polymorphisms in the *APOC3* gene—3238C→G (rs5128), -455T→C (rs2854116), and -482C→T (rs2854117)—because they have been found to be associated with CHD risk (13, 15, 17). In particular, the common *APOC3*222* haplotype confers a higher risk of nonfatal MI in the Costa Rican population (17).

Genotyping

Genotyping was carried out by using a variation of the allele specific assay (ASA). The entire procedure of single nucleotide polymorphism (SNP) genotyping consisted of 3 steps. In step 1, DNA fragments were obtained by using polymerase chain reaction primers designed according to each SNP's vicinity sequence. The reverse primers contained an artificially introduced sequence (derived from the bacteriophage M13 at the 5'-end) that was identical across all SNPs. In step 2, SNPs were genotyped by ASA in 3 different multiplex reactions, with allele-specific forward primers and a reverse primer the

sequence of which is universal for all the SNPs. Universal primers were labeled at the 5'-end with 1 of 3 fluorescent dyes (FAM, HEX, and TET). In step 3, ASA products were separated by capillary electrophoresis with the ABI Prism 310 genetic analyzer (Applied Biosystems, Perkin-Elmer, Norwalk, CT) and were analyzed using the GenTyper software. To confirm the accuracy of genotyping, 10 DNA samples for each SNP were subjected to direct DNA sequencing of the polymerase chain reaction products. There was 100% agreement between the 2 procedures. Eight control samples were genotyped for each plate throughout the study to assess the genotyping reproducibility. Reproducibility was 99.9% and <1% of the control, and unknown samples had missing values. All samples, cases and controls, were double-blinded.

Statistical analysis

All data were analyzed with Statistical Analysis Systems software version 9 (SAS Institute Inc, Cary, NC). Differences in health characteristics and potential confounders between cases and controls were assessed by Wilcoxon's rank-sum tests for continuous variables and with chi-square tests for categorical variables. Allele frequencies were estimated by the gene-counting method, and an exact test was performed to identify departures from Hardy-Weinberg proportions. The PROC HAPLOTYPY in the SAS/Genetics Software was used to estimate maximum-likelihood haplotype relative frequencies.

Haplotypic odds ratios (ORs) were estimated by using an expectation substitution approach (24, 25) that estimated the probabilities of all possible haplotype configurations of each individual in the sample, based on their genotype and case-control status. Conditional models matched by sex, age (± 5 y), and county of residence were used in all analyses. Models were adjusted in the analysis for waist and hip circumference (quintiles based on the distribution in controls), physical activity measured in metabolic equivalents (METS; quintiles based on the distribution in controls), income (quintiles based on the distribution in controls), smoking (never smoker, past smoker, or current smoker of <10 cigarettes/d, ≥ 10 to <20 cigarettes/d, or ≥ 20 cigarettes/d), alcohol consumption (never, past, and 3 tertiles of current drinkers), and history of hypertension (yes or no). We used likelihood ratio tests to assess the interaction between the *APOC3*222* haplotype and obesity and between the *APOC3*222* haplotype and fasting glucose on nonfatal MI risk.

RESULTS

The general characteristics of the study participants are shown in **Table 1**. Compared with the controls, the cases had a lower income, lower physical activity, higher fasting triacylglycerol concentration, and higher prevalence of smoking, diabetes, and hypertension. The mean (\pm SD) apo C-III concentration measured in controls was 18.6 ± 7.2 mg/dL. The triple variant *APOC3*222* haplotype was more frequent in cases than in controls (17.4% compared with 13.7%; $P < 0.001$). The OR for the risk of nonfatal MI for the *APOC3*222* haplotype was 1.27 (95% CI: 1.09, 1.48).

The ORs for the risk of nonfatal MI for the *APOC3*222* haplotype compared with the wild-type *APOC3*111* haplotype within each quintile of waist circumference are shown in

TABLE 1

General characteristics in cases of myocardial infarction and population-based controls in the Central Valley of Costa Rica

Characteristics	Controls (n = 1703)	Cases (n = 1703)
Age (ys)	58 ± 11 ¹	58 ± 11
Sex (% female)	26	26
Residence (% rural)	25	25
Monthly income (US\$)	574 ± 437	491 ± 396 ²
Waist circumference (cm)		
Men	92.2 ± 9.6	92.0 ± 8.4
Women	86.4 ± 10.1	87.2 ± 10.2
Physical activity (METS) ³	1.55 ± 0.72	1.50 ± 0.72 ²
Smoking status (%)		
Never smoked	39	30 ²
Past smokers	40	30 ²
Current smokers, <10 cigarettes/d	9	8 ²
Current smokers, ≥10 to <20 cigarettes/d	5	8 ²
Current smokers, ≥20 cigarettes/d	7	24 ²
History of diabetes (%)	15	24 ²
History of hypertension (%)	29	38 ²
Alcohol status (%)		
Never drank	21	20 ²
Past drinkers	26	30 ²
Current drinkers	53	50 ²
<i>APOC3</i> haplotypes ⁴		
111	0.487 ± 0.008	0.489 ± 0.008
112	0.034 ± 0.003	0.034 ± 0.003
121	0.074 ± 0.004	0.061 ± 0.004
122	0.205 ± 0.007	0.187 ± 0.007
211	0.036 ± 0.003	0.031 ± 0.003
212	0.020 ± 0.002	0.014 ± 0.002
222	0.137 ± 0.006	0.174 ± 0.006
Plasma triglyceride (mg/dL)	210 ± 116	223 ± 111 ²
Plasma cholesterol (mg/dL)	223 ± 47	202 ± 45 ²
Plasma fasting glucose (mg/dL)	83 ± 34	85 ± 37
Plasma apo C-III (mg/dL) ⁵	18.6 ± 7.2	—

¹ $\bar{x} \pm SD$ for continuous variables (all such values).

² Significantly different from controls ($P < 0.05$) by Wilcoxon's rank-sum test for continuous variables and chi-square test for categorical variables.

³ METS, metabolic equivalent tasks.

⁴ The order of the single nucleotide polymorphisms within each haplotype is *APOC3* 3238G → C, *APOC3* -455C → T, and *APOC3* -482T → C; 1 codes for the wild-type allele, and 2 codes for the variant-type allele. The overall difference in haplotypic frequencies between cases and controls was assessed with a permutation test. P values are in parentheses. P for global test = 0.001.

⁵ Apo C-III measurements were conducted in a subgroup of 300 control individuals.

with each 5-cm increase in waist circumference. Using as reference the group consisting of the wild-type *APOC3**111 haplotype within the first quintile of waist circumference, the ORs (95% CI) for risk of nonfatal MI were 1.72 (1.16, 2.54) for the *APOC3**222 haplotype in the first quintile; 1.95 (1.46, 2.62) and 2.96 (2.00, 4.38) for the *APOC3**111 and *APOC3**222 haplotypes in the second quintile, respectively; 2.50 (1.80, 3.46) and 3.39 (2.29, 5.01) for the *APOC3**111 and *APOC3**222 haplotypes in the third quintile, respectively; 2.24 (1.56, 3.22) and 3.81 (2.48, 5.85) for the *APOC3**111 and *APOC3**222 haplotypes in the fourth quintile, respectively; and 4.38 (2.94, 6.52) and 3.29 (2.12, 5.12) for the *APOC3**111 and *APOC3**222 haplotypes in the fifth quintile, respectively.

Abdominal obesity is a major determinant of insulin resistance. We therefore examined whether increasing concentrations of fasting plasma glucose, as occurs in insulin resistance, would

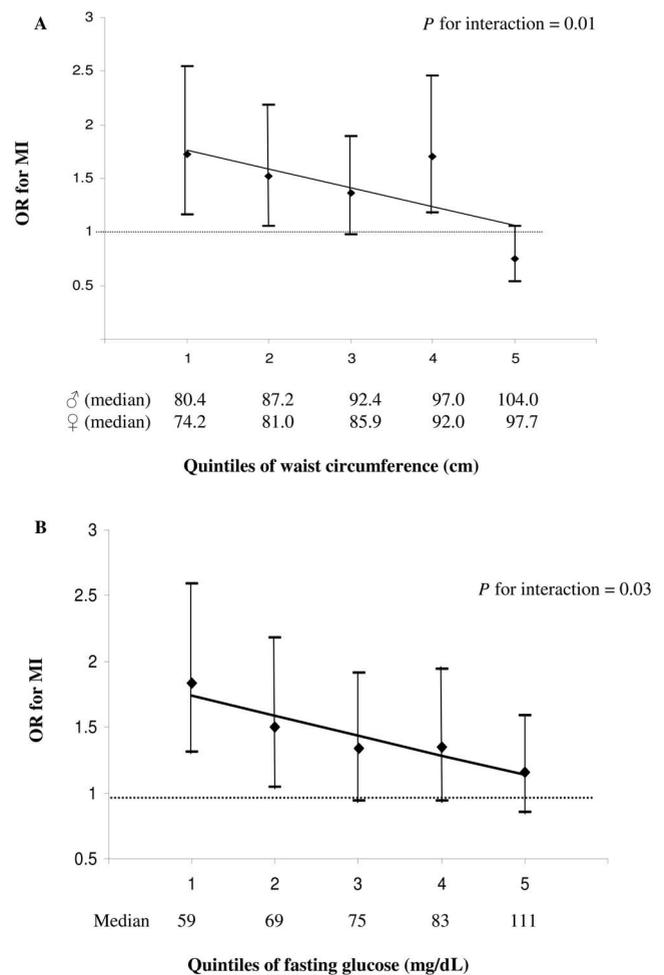


FIGURE 1. Odds ratios (ORs) and 95% CIs for the risk of nonfatal myocardial infarction (MI) for the *APOC3**222 haplotype within quintiles of waist circumference (A) and quintiles of fasting glucose concentrations (B). ORs for the risk of nonfatal MI for the *APOC3**222 haplotype were estimated by using the wild-type *APOC3**111 within each quintile of waist circumference or fasting glucose concentration as the reference ($n = 1703$ case-control pairs). ORs and 95% CIs were estimated by using conditional logistic regression models adjusted for hip circumference, physical activity, smoking, income, alcohol consumption, and history of hypertension. Linear regression of the ORs on the median values of waist circumference and fasting glucose is shown. Interactions were assessed with a likelihood ratio test that compared logistic models with and without interaction terms.

F1

Figure 1A. The *APOC3**222 haplotype was associated with risk of nonfatal MI in individuals with a smaller waist circumference, and the strength of the association was attenuated in those with larger waist circumferences (P for interaction = 0.01). The ORs (95% CI) for risk of nonfatal MI for the *APOC3**222 haplotype compared with the wild-type *APOC3**111 within each quintile of waist circumference were as follows: 1.72 (1.16, 2.54) for the first, 1.52 (1.05, 2.18) for the second, 1.36 (0.97, 1.89) for the third, 1.70 (1.18, 2.45) for the fourth, and 0.75 (0.54, 1.05) for the fifth quintile. The association between the *APOC3**222 haplotype and risk of nonfatal MI was reduced by 13.3% (95% CI: 4.7%, 21.2%)

have similar effects to those found for waist circumference on the association between the *APOC3**222 haplotype and risk of nonfatal MI. The ORs for the risk of nonfatal MI for the *APOC3**222 haplotype, compared with the *APOC3**111 haplotype, within quintiles of fasting glucose concentration are shown in Figure 1B. Consistent with the results for waist circumference, the *APOC3**222 haplotype was associated with an increased risk of nonfatal MI only in individuals with lower fasting glucose concentrations. The ORs (95% CI) for the risk of nonfatal MI for the *APOC3**222 haplotype compared with the wild-type *APOC3**111 haplotype within each quintile of fasting glucose concentration were as follows: 1.84 (1.31, 2.59) for the first, 1.50 (1.04, 2.18) for the second, 1.34 (0.94, 1.91) for the third, 1.35 (0.94, 1.94) for the fourth, and 1.16 (0.85, 1.59) for the fifth quintile. The association between the *APOC3**222 haplotype and the risk of nonfatal MI was reduced by 4.6% (95% CI: 0.3%, 8.7%) with each 10-mg/dL increase in fasting glucose concentration (*P* for interaction = 0.03). Using as reference the group consisting of the wild-type *APOC3**111 haplotype within the first quintile of fasting glucose concentration, the ORs (95% CI) for risk of nonfatal MI were 1.84 (1.31, 2.59) for the *APOC3**222 haplotype in the first quintile; 0.86 (0.64, 1.15) and 1.29 (0.90, 1.86) for the *APOC3**111 and *APOC3**222 haplotypes, in the second quintile, respectively; 0.87 (0.65, 1.15) and 1.16 (0.81, 1.65) for the *APOC3**111 and *APOC3**222 haplotypes, in the third quintile, respectively; 0.93 (0.69, 1.24) and 1.26 (0.87, 1.80) for the *APOC3**111 and *APOC3**222 haplotypes, in the fourth quintile, respectively; and 1.34 (1.02, 1.77) and 1.57 (1.13, 2.17) for the *APOC3**111 and *APOC3**222 haplotypes, in the fifth quintile, respectively. No significant interactions were found between the individual SNPs and either abdominal obesity or fasting plasma glucose on the risk of nonfatal MI (see Table S1 under "Supplemental data" in the online issue).

The highest quintiles of abdominal obesity were 104 cm in men and 97.7 cm in women compared with the lowest quintiles of 80.4 and 74.2 cm, respectively. Compared with subjects in the lowest quintile, those in the highest quintile were more likely to be diabetic (23.1% compared with 6.1%) and to be taking glucose-lowering medications (26.1%). The highest quintile of fasting glucose was 111 mg/dL. Forty-seven percent of the subjects in this top category were diabetic, and 46.2% were taking glucose-lowering medications.

To test whether the interaction between waist circumference or fasting glucose level and the *APOC3**222 haplotype on the risk of nonfatal MI could be explained by the action of this haplotype on *APOC3* gene expression, we measured the plasma concentration of apo C-III in a group of 300 control subjects. Overall, the apo C-III concentration was higher in individuals with the *APOC3**222 haplotype than in homozygotes for the wild-type *APOC3**111 haplotype (20.5 ± 0.8 compared with 17.7 ± 0.6 mg/dL, respectively; *P* = 0.01). These concentrations corresponded to an overall 15% (95% CI: 2%, 29%) higher apo C-III concentration in individuals with the *APOC3**222 haplotype than in those homozygous for the wild-type *APOC3**111 haplotype. The percentage differences in apo C-III concentrations for each one-copy increase in the *APOC3**222 haplotype compared with the *APOC3**111 haplotype, within each quartile of waist circumference, are shown in Figure 2. The percentage difference in apo C-III concentrations was larger in individuals with a smaller waist circumference than in those with a larger waist circumference: 37% for the first, -3% for the second, 11%

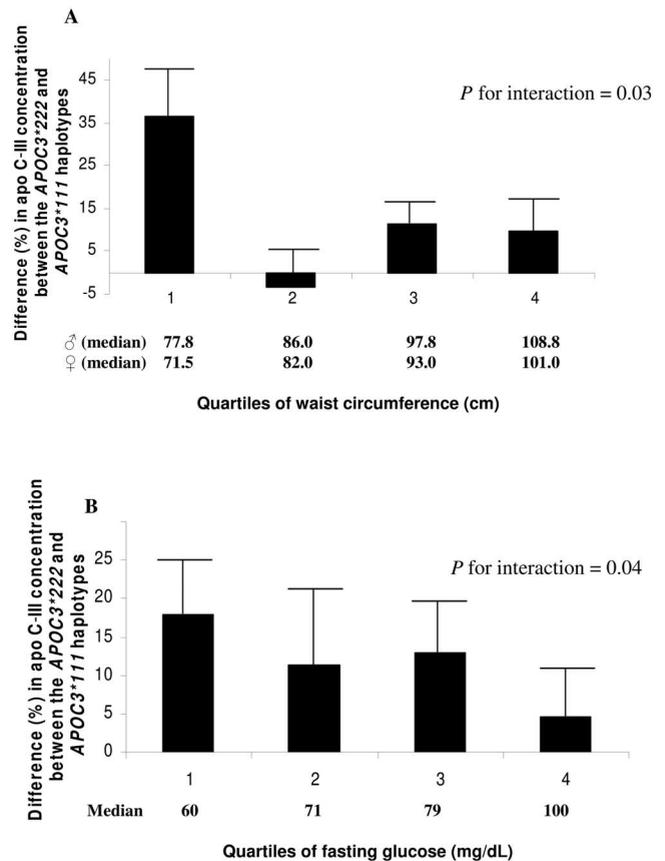


FIGURE 2. Percentage difference in plasma apolipoprotein (apo) C-III concentrations between the *APOC3**222 haplotype and the *APOC3**111 haplotype by quartiles of waist circumference (A) and quartiles of fasting glucose concentrations (B). Percentage differences (+SEM) for apo C-III concentrations were estimated in 300 control subjects using as reference the *APOC3**111 haplotype within each quartile of waist circumference or the fasting glucose concentration. Mean plasma apo C-III concentrations were adjusted for age, sex, area of residence, physical activity, and hip circumference by using general linear models. Interactions were assessed with a likelihood ratio test that compared models with and without interaction terms.

for the third, and 10% for the fourth quartile (*P* for interaction = 0.03); the corresponding differences in apo C-III concentrations were 5.8 ± 1.8 mg/dL for the first, -0.6 ± 1.5 mg/dL for the second, 2.0 ± 1.0 mg/dL for the third, and 1.8 ± 1.4 mg/dL for the fourth quartile. Least-squares mean apo C-III concentrations in the first quartile of waist circumference for homozygotes of the *APOC3**111 haplotype and carriers of the *APOC3**222 haplotype, respectively, were 16.6 ± 1.5 and 21.3 ± 2.1 mg/dL; in the second quartile were 17.6 ± 1.4 and 17.4 ± 1.3 mg/dL, respectively; in the third quartile were 17.5 ± 1.6 and 22.9 ± 1.3 mg/dL, respectively; and in the fourth quartile were 18.7 ± 1.7 and 21.3 ± 2.1 mg/dL, respectively.

As shown in Figure 2B, a similar pattern was observed when the analysis was conducted using quartiles of fasting glucose. Compared with the *APOC3**111 haplotype, each one-copy increase in the *APOC3**222 haplotype was associated with an 18% (or 3.3 mg/dL) higher apo C-III concentration in individuals in the lowest quartile of fasting glucose. In contrast, this difference was only 5% (or 0.8 mg/dL) in the highest quartile of fasting glucose (*P* for interaction = 0.04).

AQ: 2

F2

DISCUSSION

Genetic variation in the *APOC3* gene, particularly in the promoter region, is associated with an increased risk of CHD (13, 15–17, 26). However, the mechanisms underlying this association are unknown. One hypothesis is that mutations in an IRE of the *APOC3* promoter cause a decrease in insulin down-regulation of *APOC3* expression (12). This increases the secretion into plasma of VLDL that contains apo C-III, an atherogenic lipoprotein. If true, the status of insulin resistance could modify the effect of variation in the *APOC3* promoter on CHD risk. We found that abdominal obesity and fasting hyperglycemia, surrogates for insulin resistance in this population, do indeed interact with the common *APOC3**222 haplotype, which confers a higher risk of nonfatal MI in the Costa Rican population (17). The *APOC3**222 haplotype is associated with the risk of nonfatal MI in leaner individuals and in those with a low fasting glucose concentration, but not in those with high abdominal obesity or a high fasting glucose concentration. The *APOC3**222 haplotype was shown to be associated with a higher plasma apo C-III concentration only in leaner individuals or in those with a lower fasting glucose concentration and not in those with abdominal obesity or with a high fasting glucose concentration. These observations suggest that the effect of *APOC3**222 haplotype on plasma apo C-III concentrations and on the risk of nonfatal MI is dependent on normal insulin sensitivity in hepatocytes that produce apo C-III.

The association between *APOC3* genetic variation and risk of CHD has not been consistently replicated (13, 15, 27, 28). Although some studies have found that persons homozygous for the minor allele of the –455 site have a higher risk of CHD than do those homozygous for the major allele (13, 15), others have found no such association with the highly linked –482 and *SstI* sites (27, 28). If the effect of *APOC3* promoter polymorphisms on CHD risk is mediated through overexpression of the *APOC3* gene, the present results suggest that this effect is mostly present in situations of adequate insulin sensitivity and disappear in conditions of insulin resistance. For example, it has been found that *APOC3* promoter variation does not affect triacylglycerol concentrations in diabetic subjects (11).

The *APOC3**222 haplotype was not associated with the risk of nonfatal MI in the highest quintile of abdominal obesity. The highest quintile of abdominal obesity (104 cm in men and 97.7 in women) in this study was higher than the cutoff used to define the presence of the metabolic syndrome in men (>102 cm) and women (>88 cm) (29). Thus, a considerable level of insulin resistance should be present in these individuals, as suggested by the higher fasting glucose concentration and prevalence of self-reported type 2 diabetes. This genetic interaction led us to propose a molecular mechanism by which insulin affects *APOC3* expression.

We hypothesize that the regulation of *APOC3* expression by insulin involves a repressor, and that it is similar to the regulation of the glucose-6-phosphatase (*GP6ase*) catalytic subunit gene. Nuclear factors that act as repressors are the endogenous mediators of *GP6ase* gene expression by insulin (30–32). For example, deletion of the *GP6ase* promoter IREs results in overexpression of a *GP6ase* fusion gene in HepG2 cells (31). The *GP6ase* and *APOC3* genes are both down-regulated in response to insulin (12, 33). These 2 genes also have mutations in the IREs that result in their overexpression (12, 31). The alternate hypothesis would

be that an activator such as the nuclear transcription factor Forkhead box O1 (FoxO1) would be involved (34). FoxO1, a protein that is bound to DNA in the absence of insulin, can stimulate *APOC3* expression in hepatocytes. Transgenic mice overexpressing FoxO1 have higher plasma apo C-III and triacylglycerol concentrations (34). However, in a more recent study, overexpression of FoxO1 was not associated with increased *APOC3* expression in the liver of transgenic mice or in isolated hepatocytes (35). Also, variants of the *APOC3*-IRE that are associated with higher *APOC3* gene expression (12) and plasma apo C-III concentrations (the present study and that of Tilly et al; 14) reduce the affinity of the IRE to DNA-binding proteins (12, 18). If an activator such as FoxO1 were responsible for the expression of *APOC3* in response to insulin, mutations in the IRE that reduce the binding affinity of FoxO1 would reduce *APOC3* expression. Thus, it is more likely and consistent with our finding that *APOC3**222 affects the binding of a repressor.

We propose a model in which the major factor mediating the effect of insulin on *APOC3* expression is a repressor (**Figure 3**). According to this model, in the absence of insulin, the transcriptional machinery is bound to the *APOC3* promoter, and *APOC3*-mRNA is synthesized. The presence of insulin in lean individuals under conditions of good insulin sensitivity will activate the repressor that will bind to the wild-type IRE, displace the transcriptional machinery, and suppress the transcription of the *APOC3* gene (Figure 3; pathway 1). In contrast, in the presence of insulin the repressor would be unable to bind to the mutant IRE, *APOC3* transcription would not be suppressed, and the plasma concentration of apo C-III and the CHD risk will increase (pathway 2). It is possible that the phosphatidylinositol 3'-kinase pathway, which mediates the insulin inhibition of *GP6ase* transcription (36), is involved in the repression of *APOC3* expression by insulin. A different scenario would be observed in individuals with abdominal obesity or in the presence of insulin resistance. In this setting, proinflammatory cytokines such as tumor necrosis factor- α would be able to block the phosphatidylinositol 3'-kinase pathway through the inhibition of the upstream protein insulin-receptor substrate 1 (19, 20). As a result, downstream insulin action would be blocked and *APOC3* gene expression, the plasma apo C-III concentration, and the risk of CHD would increase regardless of the presence of mutations in the IRE (pathways 3 and 4).

In summary, the effect of the *APOC3**222 haplotype on the risk of nonfatal MI was only evident in lean normoglycemic subjects. Our results support the hypothesis that genetic variation in the *APOC3* promoter leads to overexpression of the *APOC3* gene because of the loss of insulin down-regulation. An important conclusion from the present analysis is that the effect of *APOC3* promoter variation on nonfatal MI risk is larger in conditions of adequate insulin sensitivity. In a state of insulin resistance, for example, because of the presence of abdominal obesity, the *APOC3* gene would be overexpressed regardless of the presence of mutations in the promoter. In this last case, the *APOC3**222 haplotype would not confer additional nonfatal MI risk.

We are grateful to Xinia Siles for data collection and study management in Costa Rica, to the study participants, and to the staff of Proyecto Salud Coronaria, San José, Costa Rica. We also thank to Chunyu Zheng for his help with the ELISA analysis.

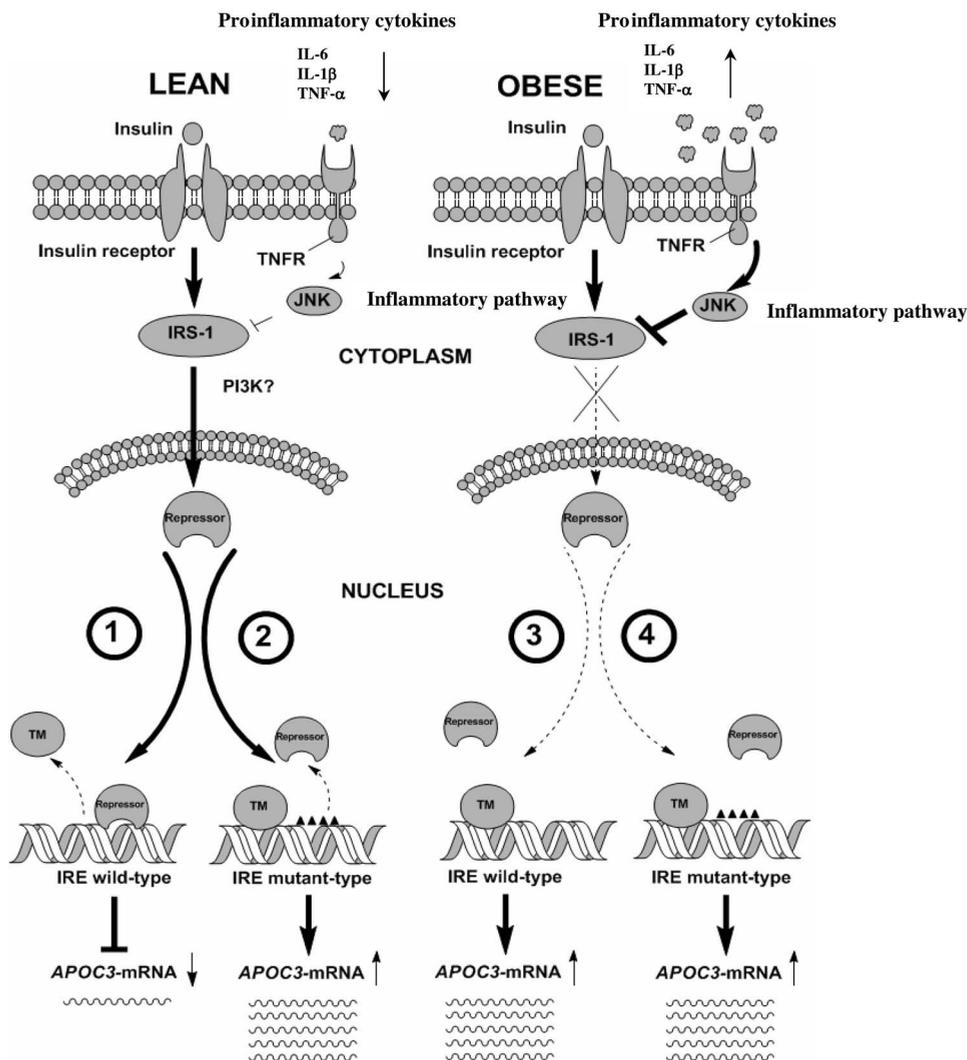


FIGURE 3. Model of inhibition by insulin of *APOC3* transcription and modulation by abdominal obesity. A repressor rather than an activator mediates the action of insulin over *APOC3* gene expression. In the absence of insulin, the transcriptional machinery (TM) is bound to the *APOC3*-promoter, which results in the transcription of *APOC3*-mRNA. In conditions of good insulin sensitivity, for example in lean individuals, the activated repressor is unable to bind to the mutant-type insulin response element (IRE), and the TM remains bound to the *APOC3*-promoter and results in overexpression of the *APOC3* gene. In conditions of insulin resistance, for example in obese individuals, the repressor fails to be activated, and the TM remains bound to the *APOC3*-promoter and results in overexpression of the *APOC3* gene regardless of the presence of mutations in the *APOC3*-IRE. IL, interleukin; TNF- α , tumor necrosis factor- α ; TNFR, tumor necrosis factor receptor; JNK, jun *N*-terminal kinase; IRS-1, insulin receptor substrate-1; PI3K, phosphatidylinositol 3'-kinase.

The authors' responsibilities were as follows—EAR-N: designed and conducted the data analysis, interpreted the main aspects of the data, conducted the genotyping and the ELISA analysis, and wrote the manuscript; and HC and FMS: designed the study, contributed to the data analyses, and proofread and edited the manuscript. The authors had no conflicts of interest.

REFERENCES

1. Alaupovic P, Mack WJ, Knight-Gibson C, Hodis HN. The role of triglyceride-rich lipoprotein families in the progression of atherosclerotic lesions as determined by sequential coronary angiography from a controlled clinical trial. *Arterioscler Thromb Vasc Biol* 1997;17:715–22.
2. Sacks FM, Alaupovic P, Moye LA, et al. VLDL, apolipoproteins B, CIII, and E, and risk of recurrent coronary events in the Cholesterol and Recurrent Events (CARE) trial. *Circulation* 2000;102:1886–92.
3. Windler E, Chao Y, Havel RJ. Determinants of hepatic uptake of triglyceride-rich lipoproteins and their remnants in the rat. *J Biol Chem* 1980;255:5475–80.
4. Sehayek E, Eisenberg S. Mechanisms of inhibition by apolipoprotein C

- of apolipoprotein E-dependent cellular metabolism of human triglyceride-rich lipoproteins through the low density lipoprotein receptor pathway. *J Biol Chem* 1991;266:18259–67.
5. Aalto-Setälä K, Fisher EA, Chen X, et al. Mechanism of hypertriglyceridemia in human apolipoprotein (apo) CIII transgenic mice. Diminished very low density lipoprotein fractional catabolic rate associated with increased apo CIII and reduced apo E on the particles. *J Clin Invest* 1992;90:1889–900.
6. de Silva HV, Lauer SJ, Wang J, et al. Overexpression of human apolipoprotein C-III in transgenic mice results in an accumulation of apolipoprotein B48 remnants that is corrected by excess apolipoprotein E. *J Biol Chem* 1994;269:2324–35.
7. Zheng C, Khoo C, Ikewaki K, Sacks FM. Rapid turnover of apolipoprotein CIII containing triglyceride-rich lipoproteins contributing to formation of LDL subfractions. *J Lipid Res* 2007.
8. Kawakami A, Aikawa M, Libby P, Alcaide P, Lusinskas FW, Sacks FM. Apolipoprotein CIII in apolipoprotein B lipoproteins enhances the adhesion of human monocytic cells to endothelial cells. *Circulation* 2006;113:691–700.
9. Kawakami A, Aikawa M, Nitta N, Yoshida M, Libby P, Sacks FM.

- Apolipoprotein CIII-induced THP-1 cell adhesion to endothelial cells involves pertussis toxin-sensitive G protein- and protein kinase C alpha-mediated nuclear factor-kappaB activation. *Arterioscler Thromb Vasc Biol* 2007;27:219–25.
10. Ordovas JM, Civeira F, Genest J Jr, et al. Restriction fragment length polymorphisms of the apolipoprotein A-I, C-III, A-IV gene locus relationships with lipids, apolipoproteins, and premature coronary artery disease Atherosclerosis 1991;87:75–86.
 11. Surguchov AP, Page GP, Smith L, Patsch W, Boerwinkle E. Polymorphic markers in apolipoprotein C-III gene flanking regions and hypertriglyceridemia. *Arterioscler Thromb Vasc Biol* 1996;16:941–7.
 12. Li WW, Dammerman MM, Smith JD, Metzger S, Breslow JL, Leff T. Common genetic variation in the promoter of the human apo CIII gene abolishes regulation by insulin and may contribute to hypertriglyceridemia. *J Clin Invest* 1995;96:2601–5.
 13. Olivieri O, Stranieri C, Bassi A, et al. ApoC-III gene polymorphisms and risk of coronary artery disease. *J Lipid Res* 2002;43:1450–7.
 14. Tilly P, Sass C, Vincent-Viry M, Aguillon D, Siest G, Visvikis S. Biological and genetic determinants of serum apoC-III concentration: reference limits from the Stanislas Cohort. *J Lipid Res* 2003;44:430–6.
 15. Olivieri O, Bassi A, Stranieri C, et al. Apolipoprotein C-III, metabolic syndrome, and risk of coronary artery disease. *J Lipid Res* 2003;44:2374–81.
 16. Ferns GA, Stocks J, Ritchie C, Galton DJ. Genetic polymorphisms of apolipoprotein C-III and insulin in survivors of myocardial infarction. *Lancet* 1985;2:300–3.
 17. Ruiz-Narvaez EA, Yang Y, Nakanishi Y, Kirchdorfer J, Campos H. APOC3/A5 haplotypes, lipid levels, and risk of myocardial infarction in the Central Valley of Costa Rica. *J Lipid Res* 2005;46:2605–13.
 18. Esterbauer H, Hell E, Krempler F, Patsch W. Allele-specific differences in apolipoprotein C-III mRNA expression in human liver. *Clin Chem* 1999;45:331–9.
 19. Hotamisligil GS, Peraldi P, Budavari A, Ellis R, White MF, Spiegelman BM. IRS-1-mediated inhibition of insulin receptor tyrosine kinase activity in TNF-alpha- and obesity-induced insulin resistance. *Science* 1996;271:665–8.
 20. Aguirre V, Uchida T, Yenush L, Davis R, White MF. The c-Jun NH(2)-terminal kinase promotes insulin resistance during association with insulin receptor substrate-1 and phosphorylation of Ser(307). *J Biol Chem* 2000;275:9047–54.
 21. Petersen KF, Shulman GI. Etiology of insulin resistance. *Am J Med* 2006;119(suppl):S10–6.
 22. Campos H, Bailey SM, Gussak LS, Siles X, Ordovas JM, Schaefer EJ. Relations of body habitus, fitness level, and cardiovascular risk factors including lipoproteins and apolipoproteins in a rural and urban Costa Rican population. *Arterioscler Thromb* 1991;11:1077–88.
 23. Report of the expert committee on the diagnosis and classification of diabetes mellitus. *Diabetes Care* 2003;26(suppl):S5–20.
 24. Zaykin DV, Westfall PH, Young SS, Karnoub MA, Wagner MJ, Ehm MG. Testing association of statistically inferred haplotypes with discrete and continuous traits in samples of unrelated individuals. *Hum Hered* 2002;53:79–91.
 25. Stram DO, Leigh Pearce C, Bretsky P, et al. Modeling and E-M estimation of haplotype-specific relative risks from genotype data for a case-control study of unrelated individuals. *Hum Hered* 2003;55:179–90.
 26. Yamada Y, Izawa H, Ichihara S, et al. Prediction of the risk of myocardial infarction from polymorphisms in candidate genes. *N Engl J Med* 2002;347:1916–23.
 27. Russo GT, Meigs JB, Cupples LA, et al. Association of the Sst-I polymorphism at the APOC3 gene locus with variations in lipid levels, lipoprotein subclass profiles and coronary heart disease risk: the Framingham offspring study. *Atherosclerosis* 2001;158:173–81.
 28. Wong WM, Hawe E, Li LK, et al. Apolipoprotein AIV gene variant S347 is associated with increased risk of coronary heart disease and lower plasma apolipoprotein AIV levels. *Circ Res* 2003;92:969–75.
 29. Grundy SM, Brewer HB Jr, Cleeman JI, Smith S, J., Lenfant C. Definition of metabolic syndrome: report of the National Heart, Lung, and Blood Institute/American Heart Association conference on scientific issues related to definition *Circulation* 2004;109:433–8.
 30. Onuma H, Vander Kooi BT, Boustead JN, Oeser JK, O'Brien RM. Correlation between FOXO1a (FKHR) and FOXO3a (FKHRL1) binding and the inhibition of basal glucose-6-phosphatase catalytic subunit gene transcription by insulin. *Mol Endocrinol* 2006;20:2831–47.
 31. Vander Kooi BT, Streeper RS, Svitek CA, Oeser JK, Powell DR, O'Brien RM. The three insulin response sequences in the glucose-6-phosphatase catalytic subunit gene promoter are functionally distinct. *J Biol Chem* 2003;278:11782–93.
 32. O'Brien RM, Streeper RS, Ayala JE, Stadelmaier BT, Hornbuckle LA. Insulin-regulated gene expression. *Biochem Soc Trans* 2001;29:552–8.
 33. Streeper RS, Svitek CA, Chapman S, Greenbaum LE, Taub R, O'Brien RM. A multicomponent insulin response sequence mediates a strong repression of mouse glucose-6-phosphatase gene transcription by insulin. *J Biol Chem* 1997;272:11698–701.
 34. Altomonte J, Cong L, Harbaran S, et al. Foxo1 mediates insulin action on apoC-III and triglyceride metabolism. *J Clin Invest* 2004;114:1493–503.
 35. Zhang W, Patil S, Chauhan B, et al. FoxO1 regulates multiple metabolic pathways in the liver: effects on gluconeogenic, glycolytic, and lipogenic gene expression. *J Biol Chem* 2006;281:10105–17.
 36. Dickens M, Svitek CA, Culbert AA, O'Brien RM, Tavare JM. Central role for phosphatidylinositol 3-kinase in the repression of glucose-6-phosphatase gene transcription by insulin. *J Biol Chem* 1998;273:20144–9.

AUTHOR QUERIES

AUTHOR PLEASE ANSWER ALL QUERIES

1

AQ1—Please provide the manufacturer's name and location (city and state) for Genotyper software.

AQ2—Only 1 value is given; provide the comparative value.
